

Metastable Hierarchy in Abstract Low-Temperature Lattice Models

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Rencontres de Probabilités 2024

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Basic Setup

- **Lattice Model:** connected space $\Omega = S^\Lambda$, Hamiltonian $\mathbb{H} : \Omega \rightarrow \mathbb{R}$

Ising Model $\Omega = \{-1, +1\}^\Lambda$, $\mathbb{H}(\eta) = -\frac{1}{2} \sum_{x \sim y} \eta_x \eta_y - \frac{h}{2} \sum_{x \in \Lambda} \eta_x$ (h small)

Potts Model $\Omega = \{1, 2, \dots, q\}^\Lambda$, $\mathbb{H}(\eta) = -\sum_{x \sim y} \mathbf{1}\{\eta_x = \eta_y\}$

XY Model $\Omega = (\mathbb{R}/\mathbb{Z})^\Lambda$, $\mathbb{H}(\eta) = -\sum_{x \sim y} J_{xy} \cos(\eta_x - \eta_y) - \sum_{x \in \Lambda} h_x \cos \eta_x$

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$$r_\beta(\eta, \xi) = \begin{cases} e^{-\beta \max\{\mathbb{H}(\xi) - \mathbb{H}(\eta), 0\}} & \text{if } \eta \sim \xi, \\ 0 & \text{otherwise.} \end{cases}$$

*Reversible w.r.t. the Gibbs measure μ_β

$\mathbb{H}(\xi) \leq \mathbb{H}(\eta)$: fast jump, $\mathbb{H}(\xi) > \mathbb{H}(\eta)$: slow jump

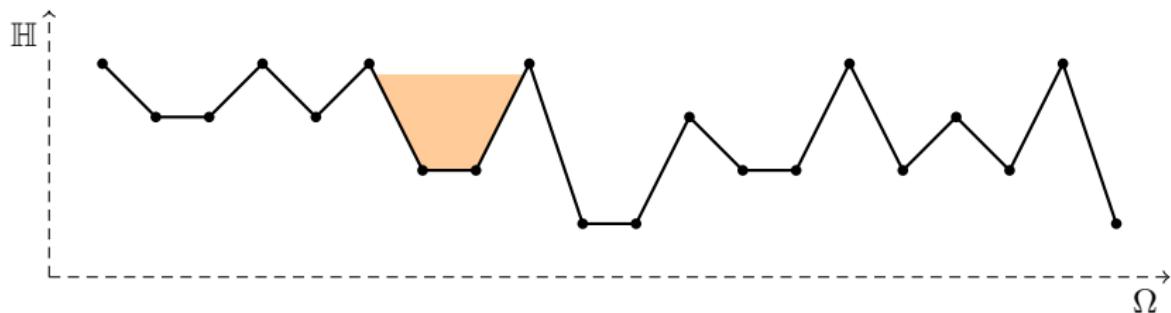
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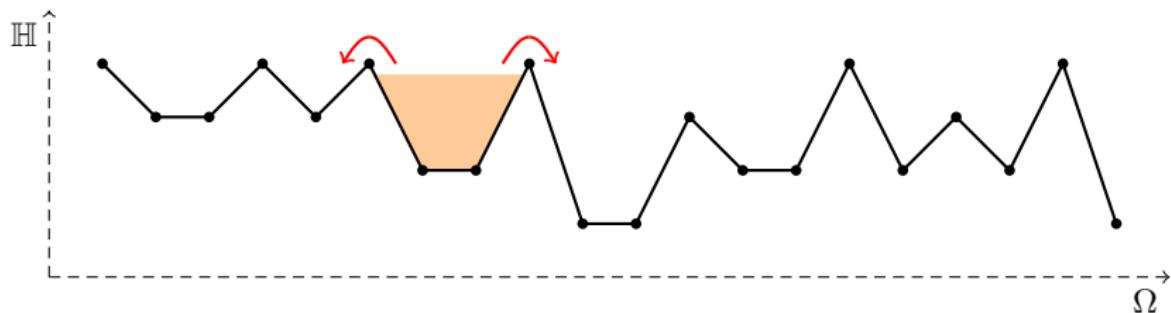
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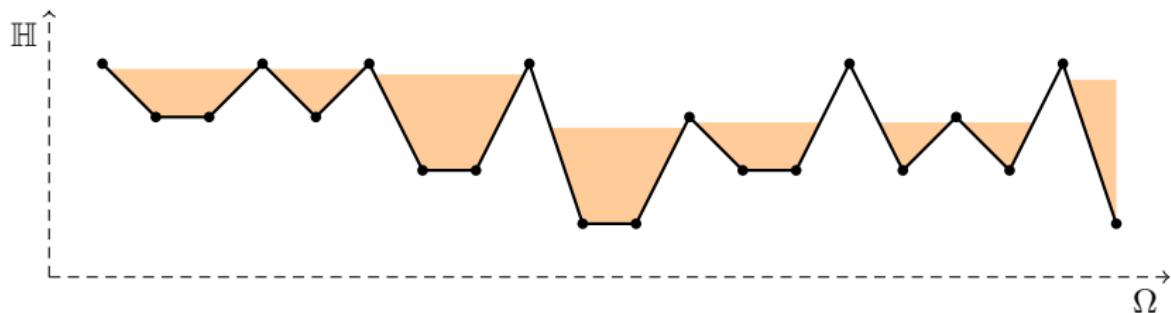
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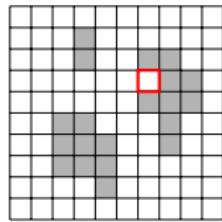
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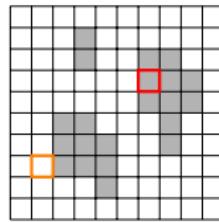


Two Examples: Glauber & Kawasaki Dynamics

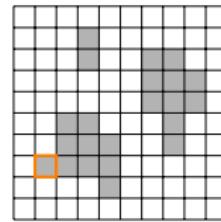
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 $\eta \sim \xi \Leftrightarrow \xi$ is obtained from η by a single spin flip



$$\begin{aligned}\Delta \mathbb{H} &= -(2 + h) \\ \Rightarrow & \\ \text{fast} &\end{aligned}$$



$$\begin{aligned}\Delta \mathbb{H} &= +(2 - h) \\ \Rightarrow & \\ \text{slow} &\end{aligned}$$



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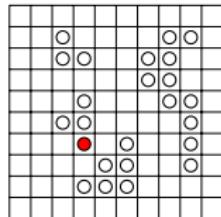
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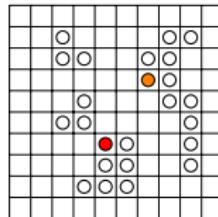
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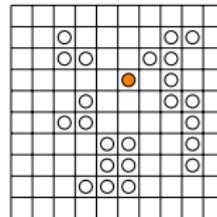
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$$\begin{aligned}\Delta \mathbb{H} &= -1 \\ \Rightarrow &\\ \text{fast} &\end{aligned}$$



$$\begin{aligned}\Delta \mathbb{H} &= 2 \\ \Rightarrow &\\ \text{slow} &\end{aligned}$$

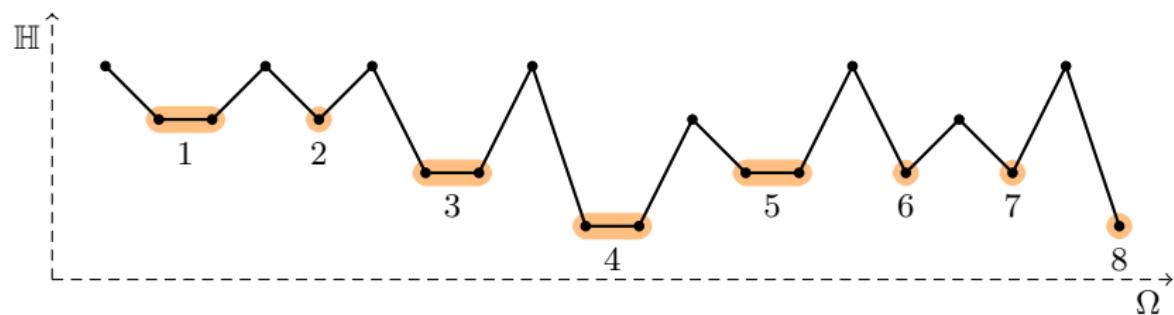


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*Subgraph $\Omega' = \{\eta \in \Omega : \sum_{x \in \Lambda} \eta_x = \mathcal{N}\}$ is connected

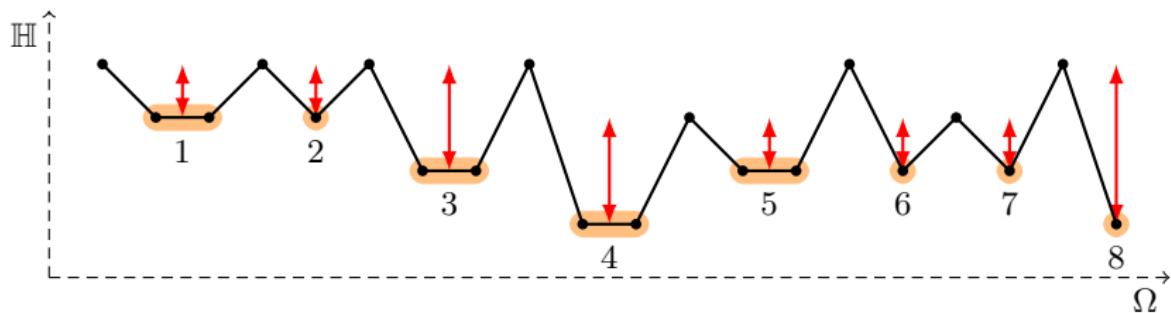
Stable Plateau and Initial Depth

- **Stable Plateau \mathcal{P} :** nonempty connected subset s.t.
 - $\mathbb{H}(\eta) = \mathbb{H}(\mathcal{P})$ for all $\eta \in \mathcal{P}$
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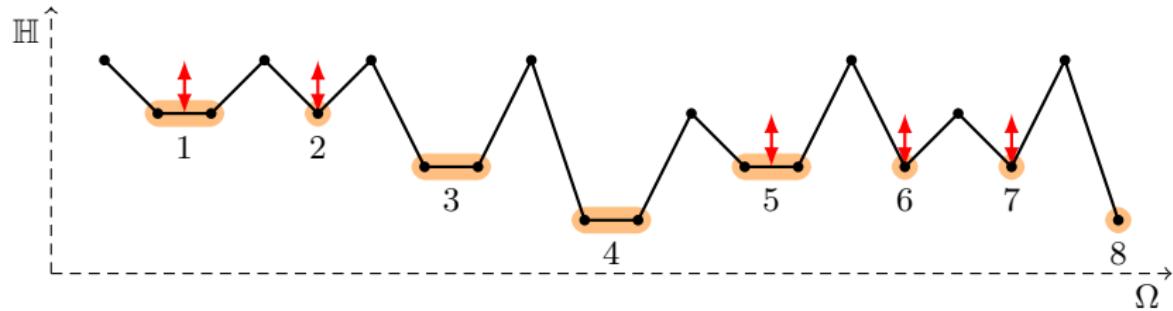
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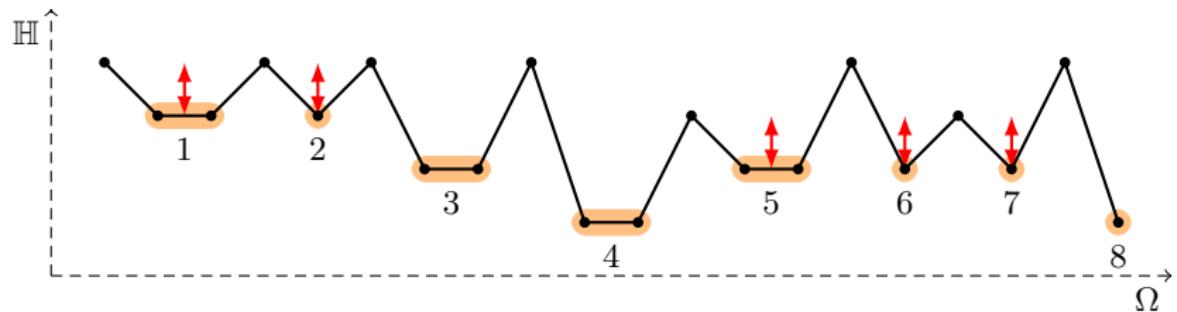
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Metastable Transitions at Level 1

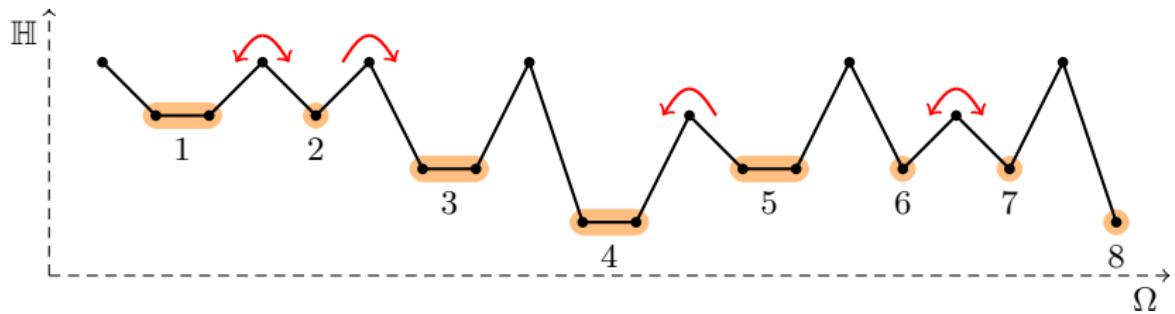
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\Rightarrow accelerate the dynamics $\{\eta_{\beta}(t)\}_{t \geq 0}$ by the time-scale $e^{\Gamma^1 \beta} \gg 1$



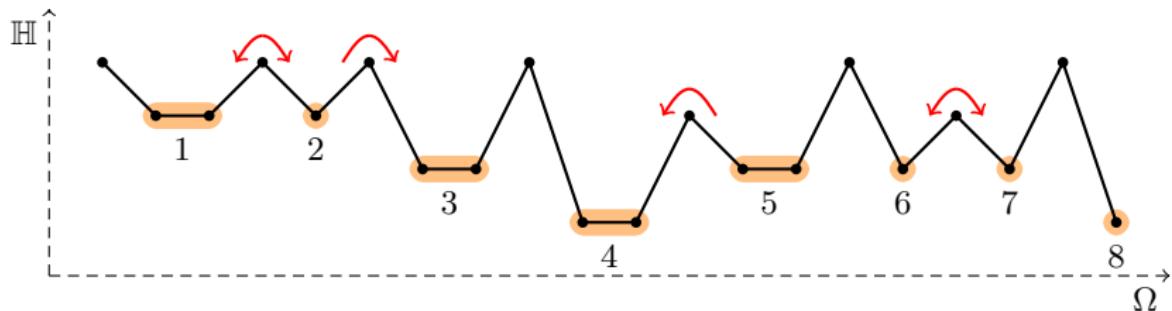
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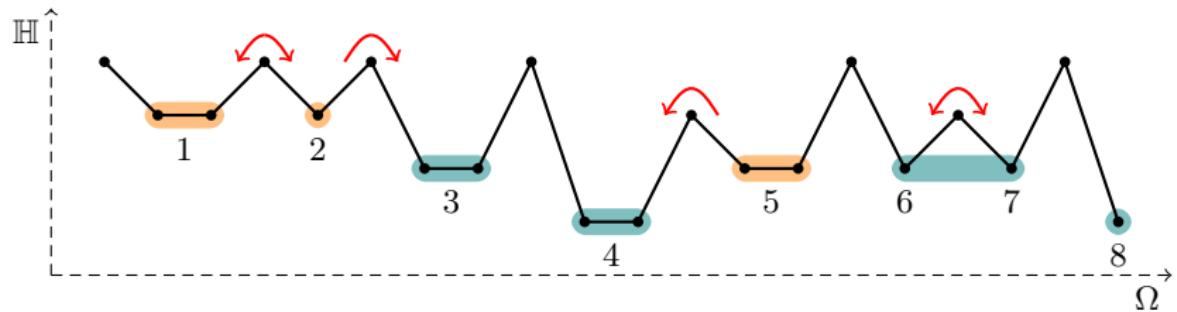
Theorem (K., arXiv:2405.08488)

$\{\eta_{\beta}(e^{\Gamma^1 \beta} t)\}_{t \geq 0}$ converges to $\{\mathfrak{X}^1(t)\}_{t \geq 0}$ in the sense of marginal distributions



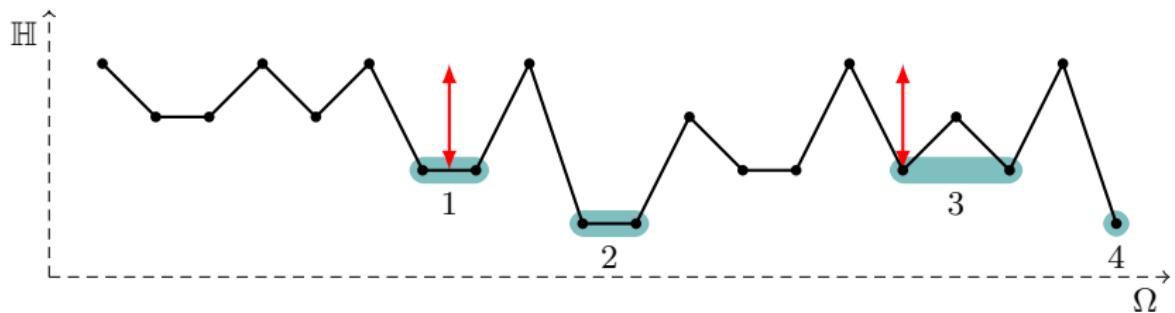
From Level 1 to Level 2

- **Next Step:** collect the *recurrent* components of $\{\mathfrak{X}^1(t)\}_{t \geq 0}$ at level 1



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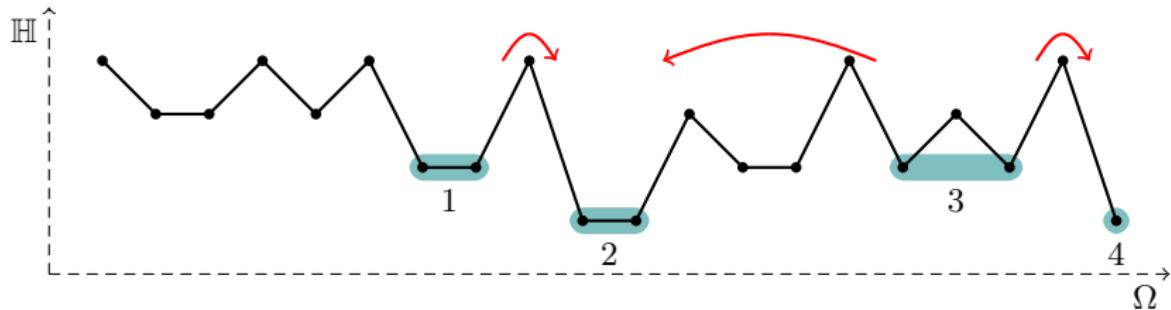


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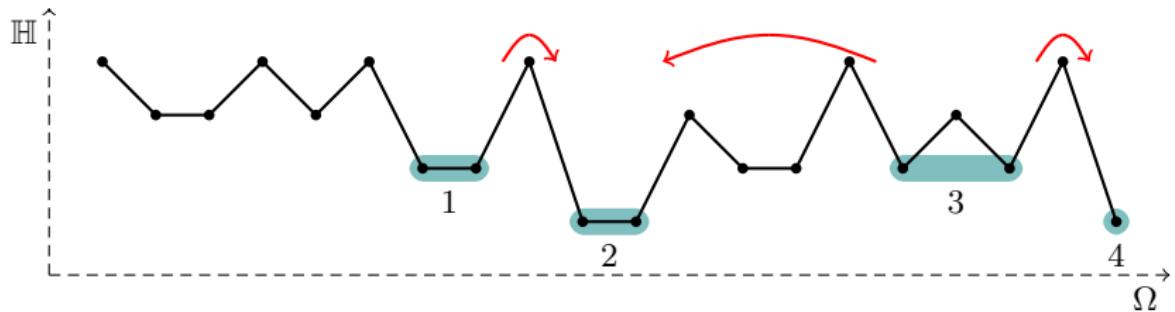
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From Level $\ell - 1$ to Level ℓ

- **Next Step:** collect the *recurrent* components of $\{\mathfrak{X}^{\ell-1}(t)\}_{t \geq 0}$ at level $\ell - 1$
- **ℓ -th Depth:** $\Gamma^\ell = \text{minimal depth of transitions between these components} > \Gamma^{\ell-1}$

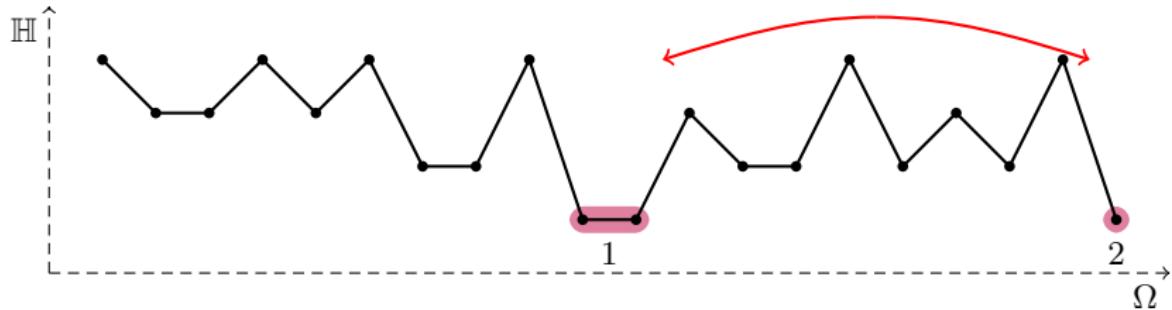


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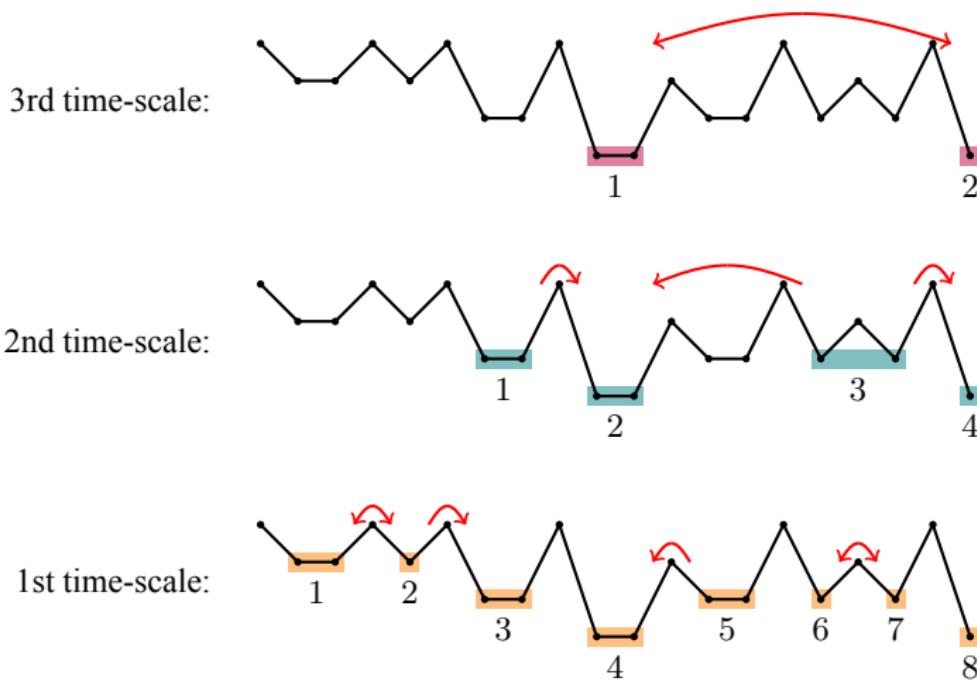
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For each $\ell \geq 1$, $\{\eta_\beta(e^{\Gamma^\ell \beta} t)\}_{t \geq 0}$ converges to $\{\mathfrak{X}^\ell(t)\}_{t \geq 0}$ in the sense of marginal distributions



Summary: Metastable Hierarchy



Case 1: Glauber Dynamics with $h > 0$

- $\Lambda = \mathbb{T}_L \times \mathbb{T}_L$, $\Omega = \{\pm 1\}^\Lambda$, $\mathbb{H}(\eta) = -\frac{1}{2} \sum_{x \sim y} \eta_x \eta_y - \frac{h}{2} \sum_{x \in \Lambda} \eta_x$, $h > 0$
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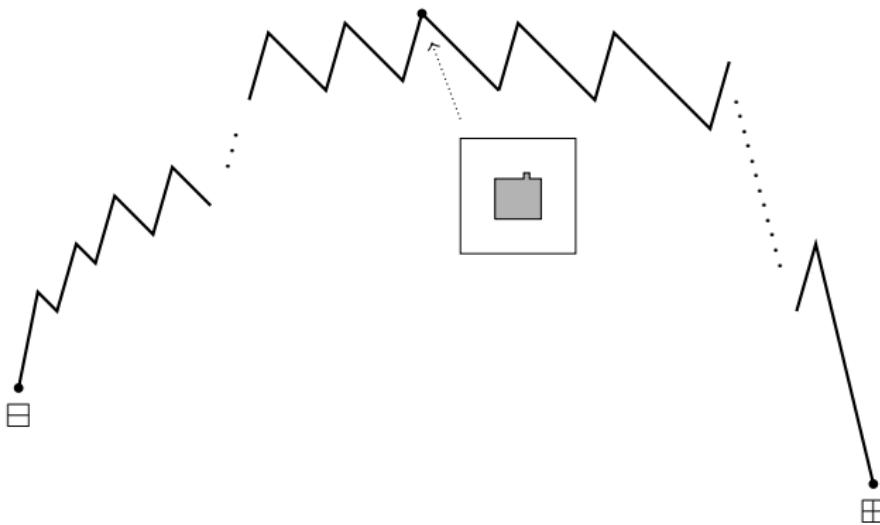
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- **Time-Scales:** $e^{h\beta} \ll e^{2h\beta} \ll \dots \ll e^{(\mathfrak{m}-2)h\beta} \ll e^{(2-h)\beta} \ll e^{\Gamma\beta}$
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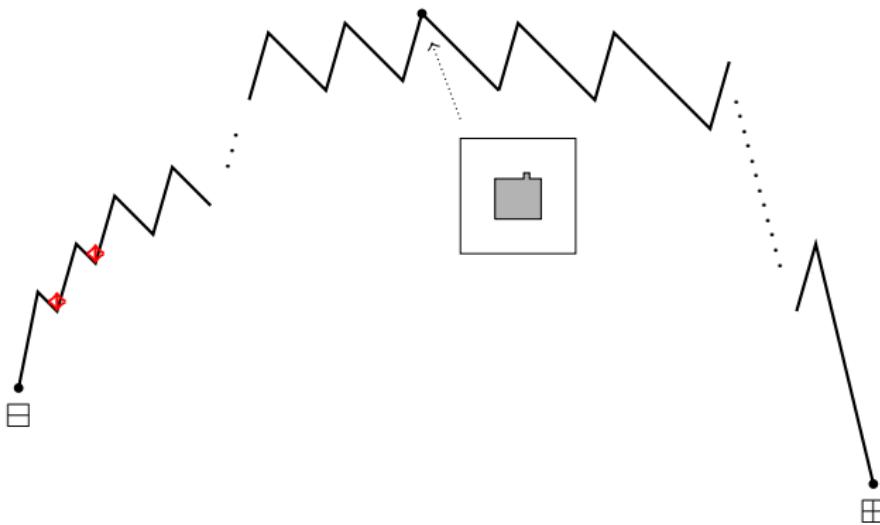
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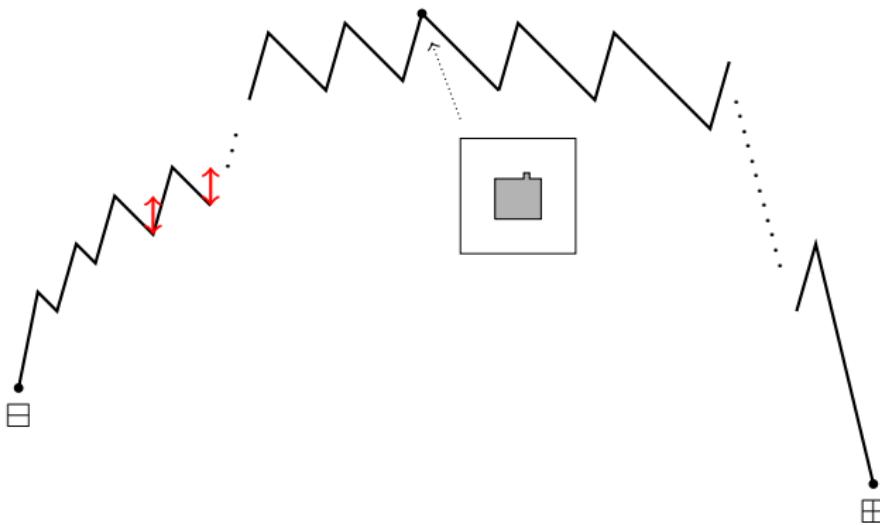
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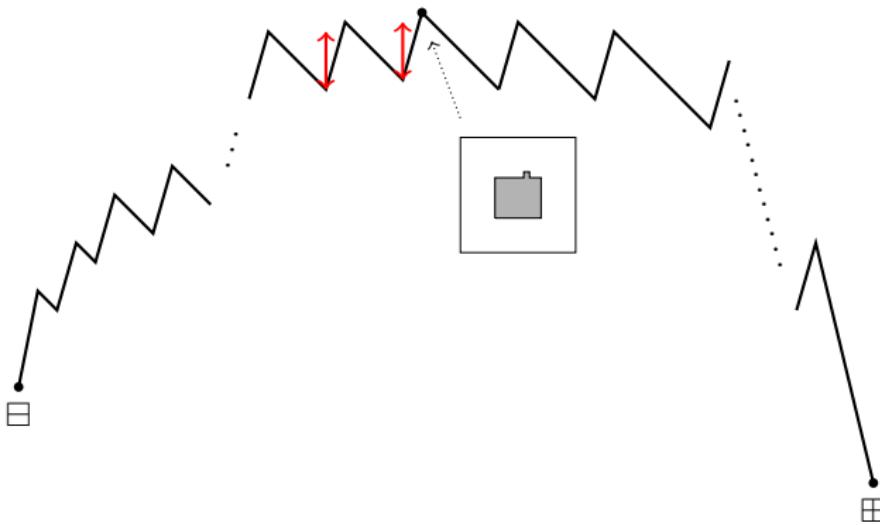
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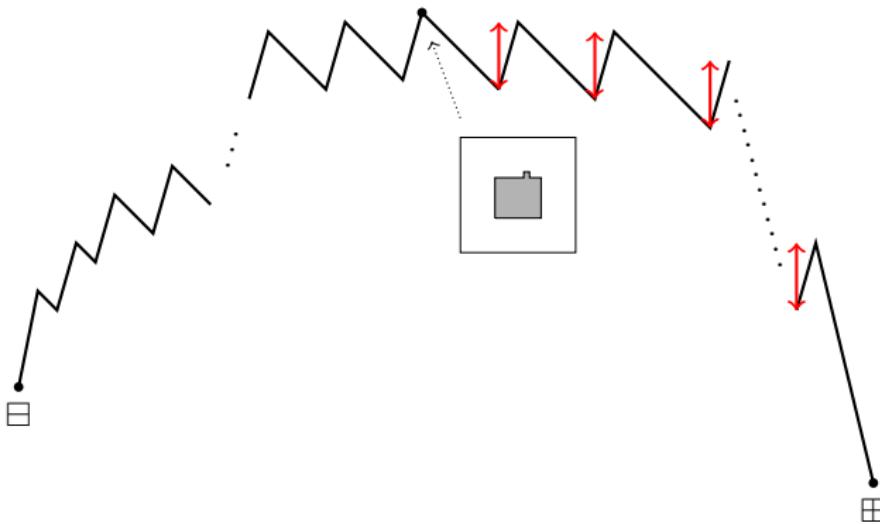
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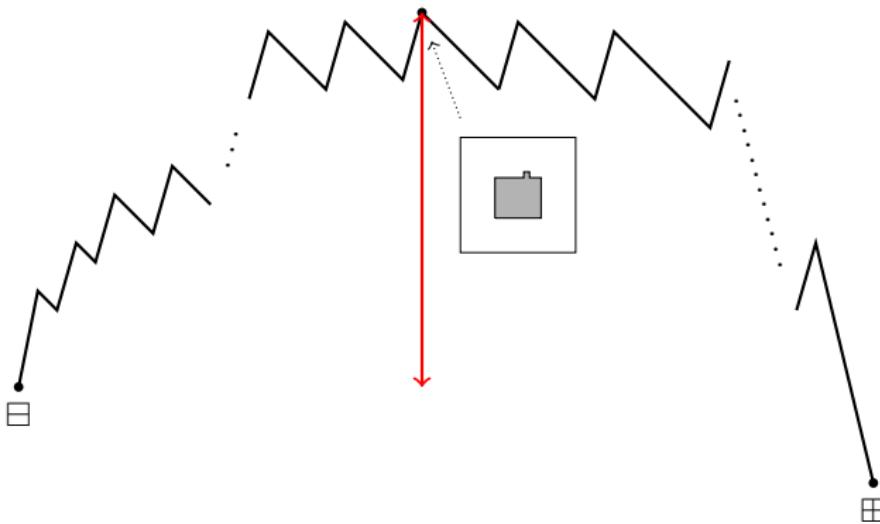
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- $\Lambda = \mathbb{T}_L \times \mathbb{T}_L$, $\Omega = \{\pm 1\}^\Lambda$, $\mathbb{H}(\eta) = -\frac{1}{2} \sum_{x \sim y} \eta_x \eta_y - \frac{h}{2} \sum_{x \in \Lambda} \eta_x$, $h > 0$
Neves–Schonmann *CMP '91*, ..., Beltrán–Landim *SPA '11*
- **Time-Scales:** $e^{h\beta} \ll e^{2h\beta} \ll \dots \ll e^{(\mathfrak{m}-2)h\beta} \ll e^{(2-h)\beta} \ll e^{\Gamma\beta}$
 $\mathfrak{m} = \lceil \frac{2}{h} \rceil$, $\Gamma = 4\mathfrak{m} - h(\mathfrak{m}^2 - \mathfrak{m} + 1)$



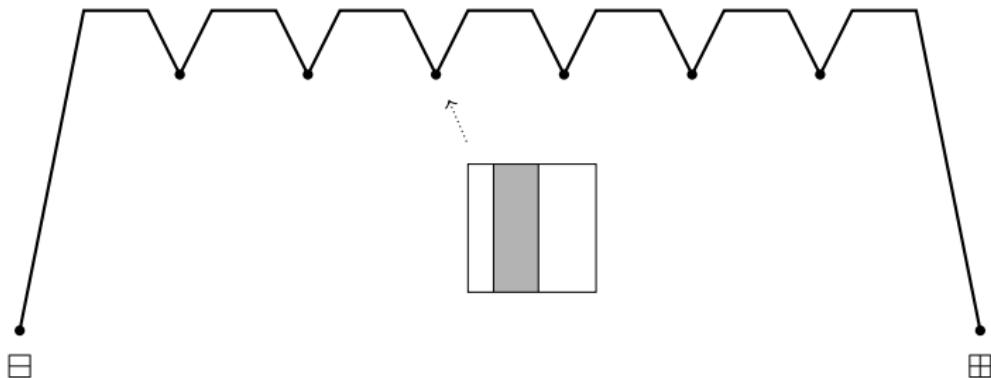
Case 2: Glauber Dynamics with $h = 0$

- $\Lambda = \mathbb{T}_L \times \mathbb{T}_L$, $\Omega = \{\pm 1\}^\Lambda$, $\mathbb{H}(\eta) = -\frac{1}{2} \sum_{x \sim y} \eta_x \eta_y$

Nardi–Zocca *SPA* '19, Bet–Gallo–Nardi *JSP* '21, K.–Seo *AoP* '24+

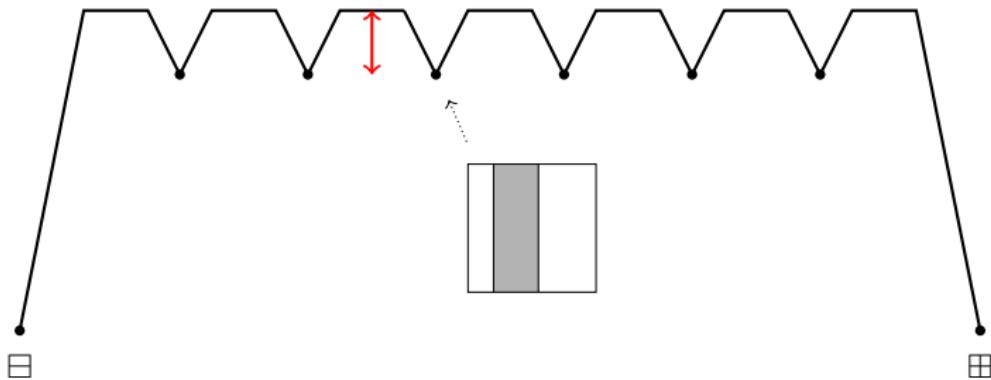
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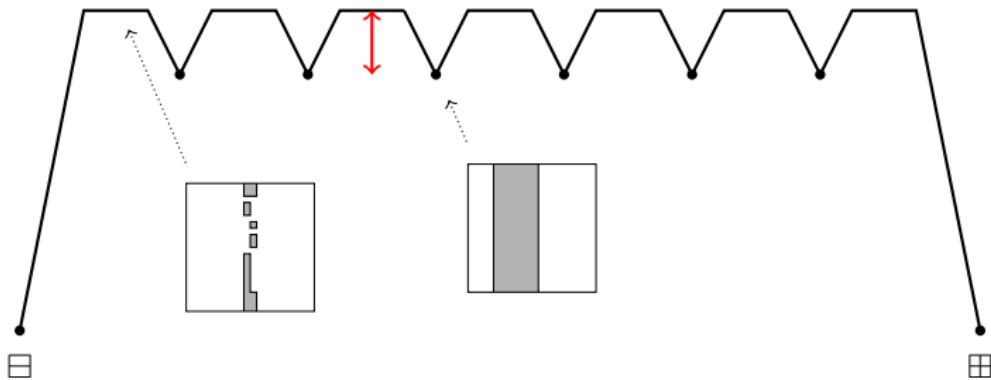
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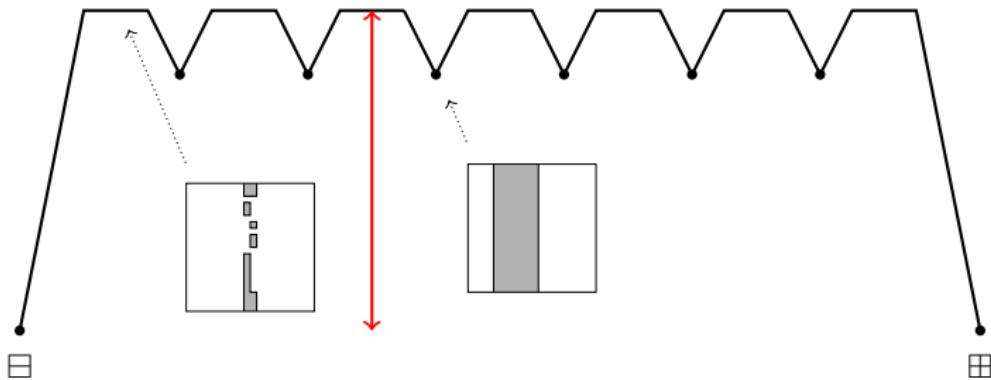
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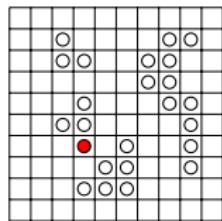
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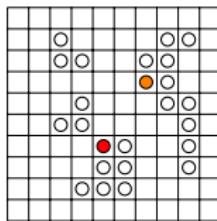
Case 3: Kawasaki Dynamics

- $\Lambda = \mathbb{T}_L \times \mathbb{T}_L$, $\Omega = \{0, 1\}^\Lambda$, $\mathbb{H}(\eta) = -\sum_{x \sim y} \eta_x \eta_y$
 $\eta \sim \xi \Leftrightarrow \xi$ is obtained from η by a single particle jump

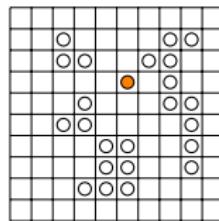
Subset $\Omega' = \{\eta \in \Omega : \sum_{x \in \Lambda} \eta_x = \mathcal{N}\}$, $\mathcal{N} < \frac{L^2}{4}$



$\Delta \mathbb{H} = -1$
 \Rightarrow
fast



$\Delta \mathbb{H} = 2$
 \Rightarrow
slow



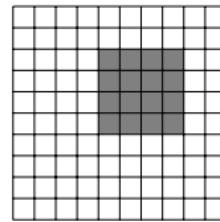
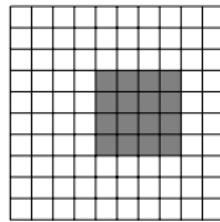
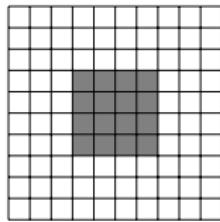
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Beltrán–Landim *AIHP* '15



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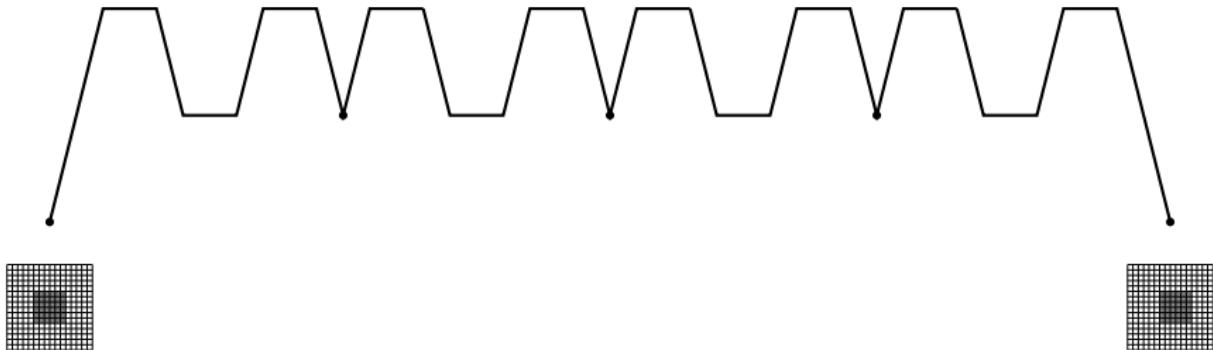
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Beltrán–Landim *AIHP '15*

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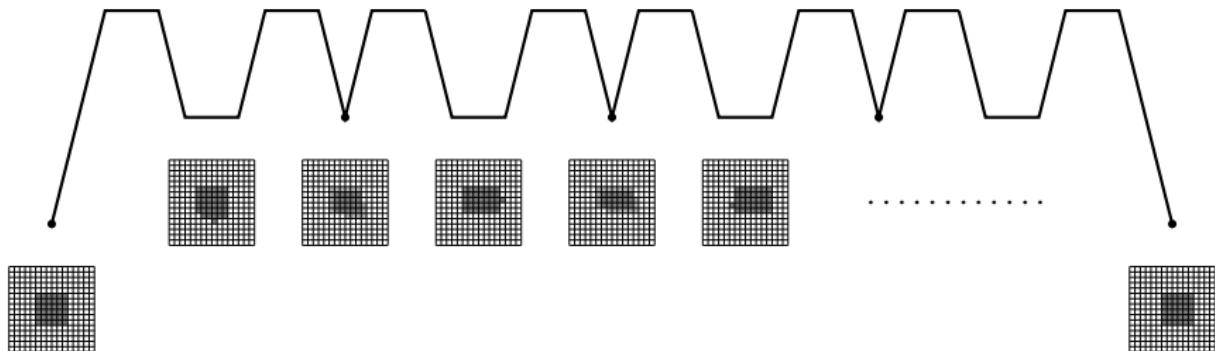
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Beltrán–Landim *AIHP '15*

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Case 4: Kawasaki Dynamics with Macroscopic Particles

- $\Lambda = \mathbb{T}_L \times \mathbb{T}_L$, $\Omega = \{0, 1\}^\Lambda$, $\mathbb{H}(\eta) = - \sum_{x \sim y} \eta_x \eta_y$

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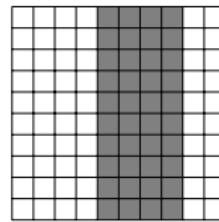
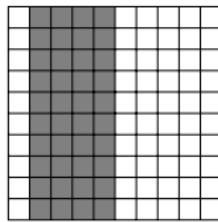
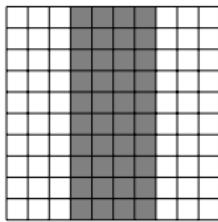
K. [arXiv:2405.08488](https://arxiv.org/abs/2405.08488)

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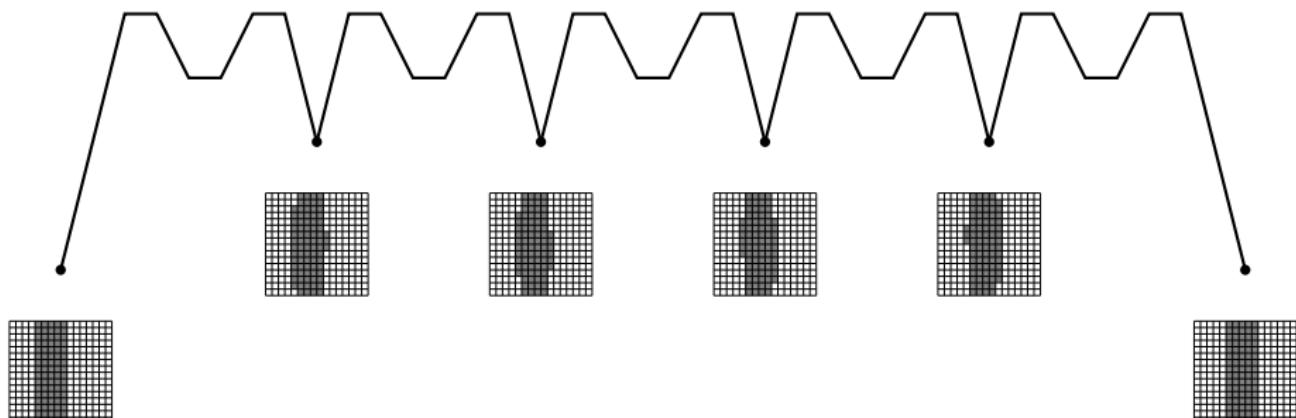
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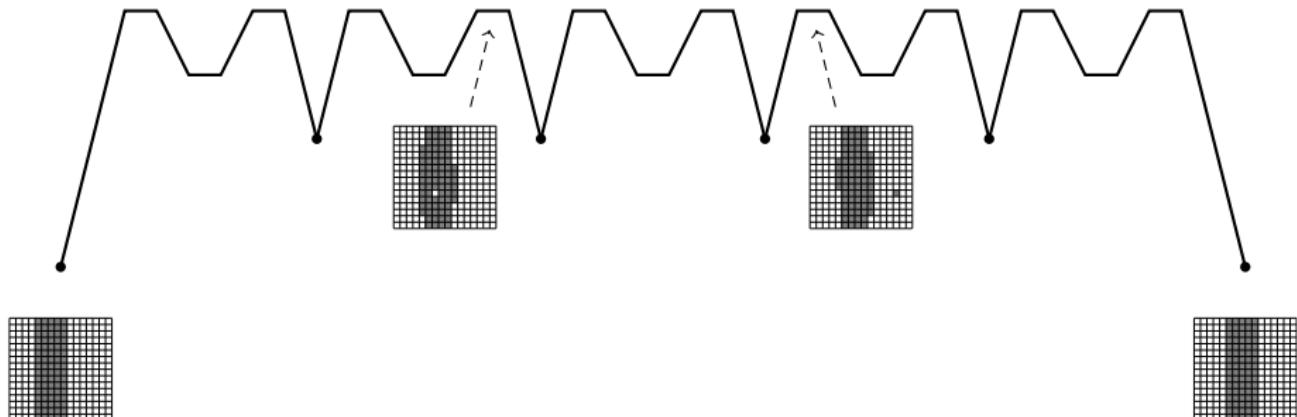
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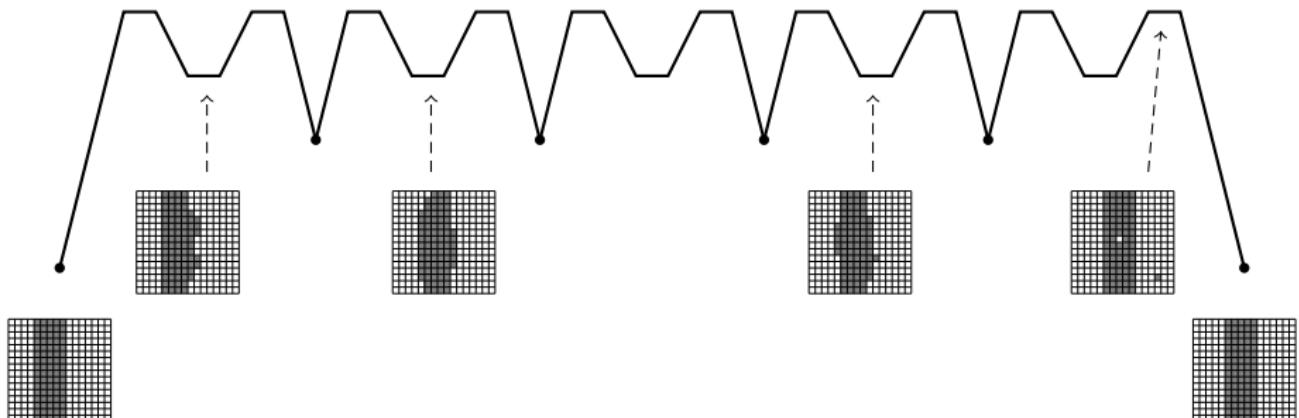
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Further Directions

- **Regime of $\beta \rightarrow \infty$ & $|\Lambda| \rightarrow \infty$:** for Case 3, *Brownian motion* Gois–Landim *AoP '15*
- **Large Deviation Approach:** empirical measure

$$\mu_{\beta,T} := \frac{1}{T} \int_0^T \delta_{\eta_\beta(t)} dt \xrightarrow{T \rightarrow \infty} \mu_\beta, \quad \mathbb{P}[\mu_{\beta,T} \in A] \sim \mathcal{I}_\beta(A).$$

μ_β does not explain metastability, but \mathcal{I}_β does! Bertini–Gabrielli–Landim *AAP '24*

$$\mathcal{I}_\beta \simeq \mathcal{I}^0 + \sum_{\ell=1}^m \frac{1}{\theta_\beta^\ell} \mathcal{I}^\ell$$

- **2.5 LD Rate Function:** empirical flow

$$Q_{\beta,T} := \frac{1}{T} \sum_{t \in [0, T]: \eta_\beta(t-) \neq \eta_\beta(t)} \delta_{(\eta_\beta(t-), \eta_\beta(t))}.$$

LDP of $(\mu_{\beta,T}, Q_{\beta,T})$ is called *level 2.5 LDP* Bertini–Faggionato–Gabrielli *AIHP '15*

Thank you! Merci beaucoup!